

TO : ALL DEALER'S REPRESENTATIVES (DRs)

FROM : THE MANAGEMENT

DATE : 25 APRIL 2017

**REMINDER ON COMPLIANCE WITH REGULATIONS GOVERNING
NO CHANGE OF BENEFICIAL OWNER (NCBO) TRADES**

The Company wish to remind all DRs that NCBO trades are a violation of Section 175(2) of the Capital Market and Services Act 2007 which is reiterated below.

CMSA Section 175 - False trading and market rigging transaction

1. Subject to section 180, no person shall create, or cause to be created, or do anything that is calculated to create, a false or misleading appearance of active trading in any securities on a stock market within Malaysia or a false or misleading appearance with respect to the market for, or the price of, any such securities.
2. A person shall not, by means of purchases or sales of any securities that do not involve a change in the beneficial ownership of those securities, or by any fictitious transaction or device, maintain, inflate, depress, or cause fluctuations in, the market price of any securities.

Penalty for offence under Subdivision 1

182. A person who contravenes **section 175**, 176, 177, 178, 179 or 181 commits an offence and shall be punished on conviction to imprisonment for a term not exceeding ten years and to a fine of not less than one million ringgit.

It is the DRs' responsibility to ensure that orders entered either by the DRs' or by DRs' online clients contributes to the maintenance of fair and orderly market.

Please also educate/inform your clients on the same and ensure compliance

Thank you

